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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

IN RE: CATHODE RAY TUBE (CRT)
ANTITRUST LITIGATION

Master File No. 07-5944-SC

MDL No. 1917

This Document Relates To:

ALL DIRECT PURCHASER ACTIONS

**DIRECT PURCHASER PLAINTIFFS'
SECOND SET OF REQUESTS FOR
PRODUCTION OF DOCUMENTS**

1 Pursuant to Rules 26 and 34 of the Federal Rules of Civil Procedure, plaintiffs hereby request
2 that each Defendant respond to each of the following requests for production of Documents, and
3 produce all responsive Documents for inspection and copying within 30 days.

4 Pursuant to Rule 26(c) of the Federal Rules of Civil Procedure, these Document requests are
5 continuing in nature so that if Defendants, their directors, officers, Employees, agents,
6 representatives or any person acting, or purporting to act, on behalf of any Defendant, discover any
7 Document(s) requested or required to be produced, Defendants shall make such Document(s)
8 available.

9 **DEFINITIONS**

10 As used herein, the following terms are defined as follows:

11 1. "All" should be construed to include the collective as well as the singular and shall
12 mean "each," "any," and "every."

13 2. "Any" shall be construed to mean "any and all."

14 3. "Communication" means without limitation, oral or written communications of any
15 kind, such as electronic communications, e-mails, facsimiles, telephone communications,
16 correspondence, exchange of written or recorded information, or face-to-face Meetings. The phrase
17 "communication between" is defined to include instances where one party addresses the other party
18 but the other party does not necessarily respond.

19 4. "Date" means the exact day, month and year, if ascertainable, or the best available
20 approximation, including any relationship to other known events (designate whether exact or
21 approximate).

22 5. "Defendant" means any company, organization, entity or person presently or
23 subsequently named as a Defendant in this litigation.

24 6. "Document" means without limitation, the original and all non-identical copies of all
25 items subject to discovery under Rule 34 of the Federal Rules of Civil Procedure. This definition
26 includes, without limitation, letters, correspondence, memoranda, legal pleadings, calendars, diaries,
27 travel records, summaries, records of telephone conversations, telegrams, notes, reports,

1 compilations, notebooks, work papers, graphs, charts, blueprints, books, pamphlets, brochures,
2 circulars, manuals, instructions, ledgers, drawings, sketches, photographs, videotapes, audiotapes,
3 film and sound reproductions, e-mails, internal or external web sites, compact discs, computer files
4 and disks, sales, advertising and promotional literature, agreements, stored recordings, minutes or
5 other records of Meetings, all written or graphic records or representations of any kind, and all
6 mechanical or electronic data, records or representations of any kind.

7 7. "Electronic Data" includes, without limitation, the following:

8 a. activity listings of electronic mail receipts and/or transmittals;

9 b. output resulting from the use of any software program, including, without

10 limitation, word processing Documents, spreadsheets, database files, charts, graphs and outlines,
11 electronic mail, AOL Instant Messenger™ (or similar program) or bulletin board programs,
12 operating systems, source code, PRF files, PRC files, batch files, ASCII files, and all miscellaneous
13 media on which they reside and regardless of whether said electronic data exists in an active file, a
14 deleted file, or file fragment;

15 c. any and all items stored on computer memories, hard disks, floppy disks, CD-
16 ROM, magnetic tape, microfiche, or in any other vehicle for digital data storage and/or transmittal,
17 such as, but not limited to, a personal digital assistant, *e.g.*, Palm Pilot, R.I.M., Blackberry, or similar
18 device, and file folder tabs, and/or containers and labels appended to, or relating to, any physical
19 storage device associated with each original and/or copy of all Documents requested herein.

20 8. "Employee" means, without limitation, any current or former officer, director,
21 executive, manager, secretary, staff member, messenger, agent or other person who is or was
22 employed by a Defendant.

23 9. "Including" is used to emphasize certain types of Documents requested and should
24 not be construed as limiting the request in any way.

25 10. "Meeting" means, without limitation, any assembly, convocation, encounter, or
26 contemporaneous presence of two or more persons for any purpose, whether planned or arranged,
27 scheduled or not.

1 11. "Or" and "and" should be construed so as to require the broadest possible response.
2 If, for example, a request calls for information about "A or B" or "A and B," You should produce all
3 information about A and all information about B, as well as all information about A and B
4 collectively. In other words, "or" and "and" should be read as "and/or."

5 12. "Person" means, without limitation, any natural person, corporation, partnership,
6 limited liability company, proprietorship, joint venture, association, government entity, group or
7 other form of legal entity.

8 13. "Relating to," "referring to," "regarding," or "with respect to" mean, without
9 limitation, the following concepts: discussing, describing, reflecting, dealing with, pertaining to,
10 analyzing, evaluating, estimating, constituting, studying, surveying, projecting, assessing, recording,
11 summarizing, criticizing, reporting, commenting, or otherwise involving, in whole or in part.

12 14. "CRT" means cathode ray tube(s) and "CRT Products" means products containing
13 cathode ray tubes.

14 15. "You," "Your," or "Your Company" mean the responding Defendant, its
15 predecessors, successors, subsidiaries, departments, divisions, and/or affiliates, including without
16 limitation any organization or entity which the responding Defendant manages or controls, together
17 with all present and former directors, officers, Employees, agents, representatives or any persons
18 acting or purporting to act on behalf of the responding Defendant.

INSTRUCTIONS

21 1. Unless otherwise noted, the Relevant Time Period for these Document requests is
22 January 1, 1995 through the present (the "Relevant Time Period"). These Document requests seek
23 all responsive Documents created or generated during the Relevant Time Period, as well as
24 responsive Documents created or generated outside the Relevant Time Period, but which contain
25 information concerning the Relevant Time Period.

26 2. To the extent Documents responsive to any of these Document requests have already
27 been produced to plaintiffs, there is no need to produce those Documents a second time. Instead,
28 please provide the bates numbers of any responsive Documents already produced.

1 3. Pursuant to Rule 26(e) of the Federal Rules of Civil Procedure, these Document
 2 requests are continuing in nature so that if You subsequently discover or obtain possession, custody,
 3 or control of any Document covered by these requests, You shall promptly make any such Document
 4 available to plaintiffs.

5 4. In producing Documents and other materials, You are to furnish all Documents or
 6 things in Your possession, custody or control, regardless of whether such Documents or materials
 7 are possessed directly by You or Your Employees, agents, parent company(ies), subsidiaries,
 8 affiliates, investigators or by Your attorneys or their Employees, agents or investigators.

9 5. Pursuant to Rule 34(b) of the Federal Rules of Civil Procedure, all Documents shall
 10 be produced in the same order as they are kept or maintained by You in the ordinary course of Your
 11 business. All Documents shall be produced in the file folder, envelope or other container in which
 12 the Documents are kept or maintained. If for any reason the container cannot be produced, You
 13 should produce copies of all labels or other identifying marks which may be present on the
 14 container.

15 6. Documents shall be produced in such fashion as to identify the department, branch or
 16 office in whose possession they were located and, where applicable, the natural person in whose
 17 possession they were found and the business address of each Document(s) custodian(s).

18 7. Documents attached to one another should not be separated. If any portion of any
 19 Document is responsive to any portion of the Document requests below, then the entire Document
 20 must be produced.

22 8. If a Document once existed and subsequently has been lost, destroyed or is otherwise
 23 missing, You should provide sufficient information to identify the Document and state, in writing,
 24 the details, including whether the Document:

- 25 a. is lost or missing;
- 26 b. has been destroyed and, if so, by whom at whose request;
- 27 c. has been transferred or delivered, voluntarily or involuntarily, to another
 28 person or entity and at whose request; and/or

d. has been otherwise disposed of.

9. In each instance in which a Document once existed and subsequently is lost, missing, destroyed, or otherwise disposed of, explain the circumstances surrounding the disposition of the Document, including, but not limited to:

a. the identity of the Person or entity who last possessed the Document;

b. the date or approximate date of the Documents disposition; and

c. the identity of all Persons who have or had knowledge of the Document's

contents.

10. If any Document responsive to any of these requests is privileged, and the Document or any portion of the Document requested is withheld based on a claim of privilege pursuant to Rule 26(b)(5) of the Federal Rules of Civil Procedure, provide a statement of the claim of privilege and all facts relied upon in support of that claim, including the following information:

a. the reason for withholding the Document;

b. the date of such communication;

c. the medium of such communication:

d. the general subject matter of such communication (such description shall not

be considered a waiver of Your claimed privilege):

the identity of any Document that was the subject of such communication and

the present location of any such Document:

f the identity of the Persons involved in such communication;

g. the identity of any Document which records, refers, or relates to such

communication and present location of any such Document;

b. the paragraph or paragraphs of those requests for production of Documents to

which such information is necessary.

11. Each Document presented herein should be read and its contents understood without any

deletion, redaction or excisions, except as qualified by Instruction 10 above, regardless of whether
You consider the entire Document or only part of it to be relevant or responsive to these Document

1 requests. If You have redacted any portion of a Document, stamp the word "REDACTED" beside
 2 the redacted information on each page of the Document which You have redacted. Any redactions
 3 to Documents produced should be identified in accordance with Instruction 10 above.

4 12. All Documents produced in paper form should be Bates numbered sequentially, with
 5 a unique number on each page, and with a prefix identifying the party producing the Document.

6 13. Pursuant to Federal Rule of Civil Procedure 34(b)(1)(C), the responding party must
 7 produce any electronically stored information ("ESI") in its native format. If ESI in its native format
 8 can only be accessed by proprietary or legacy software, or is password protected, or encrypted, the
 9 responding party must meet and confer with plaintiffs' lead counsel so the receiving party shall
 10 receive all information and software necessary to access the ESI.

11 **DOCUMENT REQUESTS**

12 **Request No. 1**

13 Documents sufficient to show Your corporate structure or organization throughout the
 14 relevant period, including, but not limited to, departments, divisions, parents, subsidiaries, joint
 15 ventures, affiliates, or other sub-units that were engaged during any part of the relevant period in the
 16 manufacture, marketing, sale or distribution of CRT or CRT Products in the United States, including,
 17 where applicable, the percentage of any stock or other interests owned by each entity in the chain.

18 **Request No. 2**

19 As to each of Your divisions, subdivisions, departments, units, subsidiaries, parents, affiliates
 20 and joint ventures, Documents sufficient to identify each executive or Employee with managerial
 21 authority who had responsibilities or duties with respect to each of the following:

- 23 (a) the manufacturing or production of CRT or CRT Products;
- 24 (b) the marketing of CRT or CRT Products;
- 25 (c) the pricing of CRT or CRT Products;
- 26 (d) the sale or distribution of CRT or CRT Products;
- 27 (e) maintaining any electronic database(s), including archives, of e-mail or other
 28 electronic Documents relating to CRT or CRT Products.

1 **Request No. 3**

2 Documents sufficient to describe Your policies or practices with respect to the retention or
3 destruction of Documents during the period January 1, 1991 through the present, and, if such policy
4 or practice has been different with respect to any category of Documents or over different times,
5 Documents sufficient to identify each such category or time period and to describe Your retention
6 policy or practice with respect to each such category or time period.

7 **Request No. 4**

8 Documents sufficient to show the manner in which You have maintained records relating to
9 CRT or CRT Products during the period January 1, 1991 through the present, including Documents
10 sufficient to describe all electronic data processing systems, programs and outputs used to record,
11 store, compute, analyze or retrieve electronically stored information relating to Your pricing,
12 production, distribution, marketing or sale of CRT or CRT Products in the United States.

13 **Request No. 5**

14 All Documents and electronic data relating to Your sales of CRT or CRT Products during the
15 period January 1, 1991 through the present, including, but not limited to:

16 a) customer names, customer billing addresses, and customer ship-to addresses;
17 b) sales terms;
18 c) sales dates and shipment dates;
19 d) product type, class, category, description, and respective use;
20 e) sales volumes;
21 f) unit price information, gross price, and actual net prices;
22 g) discounts, credits, and rebates;
23 h) shipping charges and terms;
24 i) any other related charges; and
25 j) amounts paid, dates paid, invoice numbers, and purchase order numbers.

26 If such data are not kept, or have not been kept, in electronic form in the ordinary course of Your
27 business or are otherwise not available in electronic form, please produce such data in hard copy.

1 **Request No. 6**

2 All software instructions, programs, manuals, or other Documents necessary to operate,
3 run or understand any of the programs maintained on the computer-related equipment or system
4 utilized by You to maintain, gain access to or read data produced in response to Request Nos. 4-5,
5 including all record layouts, field codes or other descriptions.

6 **Request No. 7**

7 All Documents relating to policies, methods, formulas or factors to be used in determining,
8 computing or quoting prices, including any rebates or discounts, in connection with the sale of CRT
9 or CRT Products.

10 **Request No. 8**

11 All Documents relating to any published prices for CRT or CRT Products during the period
12 January 1, 1991 through the present, including price announcements, price lists, price schedules, or
13 price changes communicated to customers in the United States.

14 **Request No. 9**

15 All Documents relating to contracts, offers or proposals for CRT or CRT Products sales
16 during the period January 1, 1991 through the present.

17 **Request No. 10**

18 Documents sufficient to identify each of Your facilities that produced CRT or CRT Products
19 from January 1, 1991 through the present, and for each such facility, all Documents relating to:

20 a) capacity, rated capacity, production and capacity utilization during each year of the
Relevant Time Period;

21 b) any proposed or actual change in the capacity to produce CRT or CRT Products;

22 c) any reason for changes in each facility's actual production of CRT or CRT Products;

23 d) the identity of all persons who had decision-making or supervisory responsibility
24 regarding CRT or CRT Products production;

25 e) each type, class, category and respective use of CRT or CRT Products produced and
26 the amounts of each produced during each month of the relevant period;

- f) any production shutdowns or slowdowns of CRT or CRT Products production and reasons for such shutdowns or slowdowns; and
- g) any projected production forecasts;
- h) any future plans to construct, joint venture or purchase fabrication plants used to manufacture or produce CRT or CRT Products.

Request No. 11

Documents sufficient to describe the processes for producing CRT or CRT Products, including but not limited to, any industry standards.

Request No. 12

All Documents relating to the cost of manufacturing, marketing, selling, and distributing CRT or CRT Products during the period January 1, 1991 through the present.

Request No. 13

Documents sufficient to show Your inventory levels of CRT or CRT Products for each month, quarter, calendar year or fiscal year from January 1, 1991 through the present.

Request No. 14

Documents sufficient to identify and quantify all swaps, trades, sales, purchases or transfers of CRT or CRT Products between You and any of Your affiliates, or between You and any other producer of CRT or CRT Products, and the price or any other consideration involved in every such sale, swap, trade, purchase or transfer.

Request No. 15

All Documents relating to any relationship between prices for CRT or CRT Products and any costs of producing, marketing, selling, or distributing CRT or CRT Products during the period January 1, 1991 through the present.

Request No. 16

All of Your internal and public annual, quarterly and monthly financial statements, summaries or analyses, including profit-and-loss statements and comparisons to budget that relate to CRT or CRT Products.

1 **Request No. 17**

2 All business plans, planning analyses, budgets, forecasts, or sales or profit projections
3 relating to CRT or CRT Products.

4 **Request No. 18**

5 Documents sufficient to show the identity of all other producers and sellers of CRT or CRT
6 Products during any portion of the relevant period.

7 **Request No. 19**

8 All Documents relating to Your percentage or share of industry production, capacity, sales or
9 shipments of CRT or CRT Products, or the percentage or share of industry production, capacity,
10 sales or shipments of any other producer or seller of CRT or CRT Products at any time during the
11 period January 1, 1991 through the present.

12 **Request No. 20**

13 All Documents showing the dollar volume or quantity of sales or shipments of CRT or CRT
14 Products (by type or category, if available) by You or by other producers or sellers of CRT or CRT
15 Products by month, quarter, calendar year or fiscal year during the period January 1, 1991 through
16 the present.

17 **Request No. 21**

18 All Documents that compare or contrast each type, class, or category of CRT or CRT
19 Products produced or sold by You with that of any other producer or seller of CRT or CRT Products
20 and all Documents that relate to any industry standards regarding types, classes, or categories of
21 CRT or CRT Products.

22 **Request No. 22**

23 Documents sufficient to show the regions or territories in which each type, class, or category
24 of CRT or CRT Products are sold in the United States.

1 Request No. 23

2 All Documents relating to conditions of supply or demand for CRT or CRT Products,
3 including, but not limited to, any market studies or industry reports during the period January 1,
4 1991 through the present.

5 Request No. 24

6 All Documents relating to any contemplated, proposed, planned, pending or executed
7 purchases, sales, acquisitions, mergers, joint ventures, divestitures, transfers, spin-offs or any other
8 change in ownership of any assets, liabilities, subsidiaries, departments, units or other subdivisions
9 of Your or another company relating to production, distribution, marketing, pricing, sale or resale of
10 CRT or CRT Products during the Relevant Time Period.

11 Request No. 25

12 All Documents relating to any communications between You and any parent, subsidiary,
13 affiliated company, joint venturer, or partner regarding the production, pricing, marketing, sale or
14 distribution of CRT or CRT Products.

15 Request No. 26

16 All Documents relating to communications regarding CRT or CRT Products between or
17 among manufacturers of CRT or CRT Products, including Defendants.

18 Request No. 27

19 All Documents relating to any Meeting attended by You or any other Defendant or any
20 manufacturer of CRT or CRT Products during which there was any communication concerning the
21 production, marketing, pricing, distribution, inventory levels or sale of CRT or CRT Products,
22 including, but not limited to the notes of any such Meetings.

23 Request No. 28

24 For each of Your Employees who has or had any non-clerical responsibility for
25 recommending, reviewing, setting or approving prices, price increase announcements, bids or
26 quotes for the sale of CRT or CRT Products, or any other involvement in the marketing or sale of
27 CRT or CRT Products:

- 1 a. all copies of electronic and manual diaries, calendars, appointment books, "to do" lists, day timers or appointment notes;
- 2 b. all copies of trip and travel logs, records or other supporting Documents;
- 3 c. all copies of expense reports or other supporting Documents;
- 4 d. all copies of telephone number logs, directories, notebooks, Rolodex cards or related memoranda;
- 5 e. all bills, statements, records and supporting Documents concerning long distance or cellular telephone calls;
- 6 f. all Documents relating to membership in any trade association or industry group; and
- 7 g. the complete personnel file for that Employee.

Request No. 29

12 Documents sufficient to show the name and address of each trade association (including
13 committees and subcommittees) relating to CRT or CRT Products of which You or any of Your
14 Employees are or have been a member, as well as Documents sufficient to show dates of
15 membership and dates of participation in committees or subcommittees.

Request No. 30

17 All Documents relating to Meetings of each trade association and each of its committees or
18 subcommittees relating to CRT or CRT Products, including all Documents relating to any such
19 Meeting attended by You and any other CRT or CRT Products manufacturer and Documents
20 sufficient to identify individuals from Your company who attended, the dates of attendance, and the
21 subject matters discussed.

Request No. 31

24 All studies, analyses, communications, presentations or other Documents that You have
25 submitted to or received from any trade association regarding CRT or CRT Products.

Request No. 32

27 All statements, announcements, disclosures or press releases issued by You or any of Your
28 competitors relating to CRT or CRT Products.

1 **Request No. 33**

2 All Documents relating to Your policies or practices directed toward compliance with the
3 United States antitrust laws, including any statements signed by Your Employees with pricing, sales
4 or marketing responsibility for CRT or CRT Products, acknowledging their receipt of and
5 compliance with Your antitrust compliance policy.

6 **Request No. 34**

7 All Documents relating to, prepared for, submitted to, or received from any foreign
8 governmental or legislative investigative body, including the Canadian Competition Bureau, the
9 European Commission, any agency or representative body of any foreign country, state or other
10 political subdivision, or any law enforcement agency, authority or commission in any foreign
11 country, relating to the production, sale, marketing, pricing or distribution of CRT or CRT Products.
12 This request includes all Documents relating to proffers, transcripts, notes, summaries, testimony,
13 witness statements, or responses to requests for information that You produced to any foreign
14 governmental agency or foreign grand jury, including any Documents produced as part of any plea
15 bargain negotiations or in connection with any application for or grant of amnesty.

16 **Request No. 35**

17 All Documents relating to proffers, transcripts, notes, summaries, testimony, witness
18 statements, or responses to requests for information that You produced or were seized by any foreign
19 governmental agency in Italy, Canada, the European Union, India, Hong Kong, Hungary, Thailand,
20 Malaysia, Korea, Japan, Singapore, China or Taiwan.

21 **Request No. 36**

22 Copies of all subpoenas or requests for production of Documents issued by any foreign
23 governmental or legislative investigative body referring or relating to CRT or CRT Products during
24 the relevant period.

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1 **Request No. 37**

2 All Documents relating to, prepared for, submitted to, or received by You as a result of any
3 investigation or research conducted either internally or by an outside entity with respect to price
4 fixing, price manipulation or manipulation of production or capacity of CRT or CRT Products.

5 **Request No. 38**

6 All Documents relating to the termination, retirement, discipline, discharge or suspension of
7 any director, officer, or Employee who had any responsibility relating to the production,
8 manufacture, distribution, marketing, pricing or sale of CRT or CRT Products.

9 **Request No. 39**

10 All Documents referring to or relating to plaintiffs in this litigation.

11 **Request No. 40**

12 All Documents that You claim would have been available to the plaintiffs or any purchaser
13 of CRT or CRT Products prior to November 2007, which should have caused the plaintiffs or any
14 such purchaser to investigate whether there was a conspiracy to fix, raise, maintain or stabilize the
15 prices or to control or restrict sales of CRT or CRT Products in the United States.

17 DATED: March 12, 2010

18 Respectfully submitted,
19 SAVERI & SAVERI, INC.

20 By

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